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J1042 U.S. PTO

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	C1039/7057 HCL/MAT
	First Named Inventor or Application Identifier	
	Davis, et al.	
	Express Mail Label No.	EL844533742US
Date of Deposit		September 26, 2001

J1050 U.S. PTO  
09/26/01

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>	<b>ADDRESS TO:</b> <b>Box Patent Application</b> Commissioner for Patents Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	9. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
<input type="checkbox"/> Statement filed in prior application; Status still proper and desired.	a. <input checked="" type="checkbox"/> Computer Readable Form (CRF)
3. <input checked="" type="checkbox"/> Specification [Total pages - 94] 83 - pages description 1 - page abstract 10 - pages claims                      108 Total claims	b. <input checked="" type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper (identical to computer copy)
	c. <input checked="" type="checkbox"/> Statement verifying identity of above copies
<b>ACCOMPANYING APPLICATION PARTS</b>	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113 [Total sheets - 15 ] <input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal [Total drawings - 15 ] <input checked="" type="checkbox"/> Letter to Official Draftsperson	10. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s)
5. <input checked="" type="checkbox"/> Oath or Declaration [Total pages = 4] <input checked="" type="checkbox"/> Revocation of POA and new POA (2 pages)	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney
a. <input type="checkbox"/> Newly executed (original or copy)	12. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i>
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> [Note Box 6 below]	13. <input type="checkbox"/> Information Disclosure Statement PTO-1449 <input type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	14. <input checked="" type="checkbox"/> Preliminary Amendment (42 pages)
6. <input checked="" type="checkbox"/> Incorporation by Reference <i>(usable if Box 5b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
7. <input checked="" type="checkbox"/> Application Data Sheet, See 37 CFR 1.76	16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
	17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)
	18. <input checked="" type="checkbox"/> Other: Check in the amount of \$1250.00 <input checked="" type="checkbox"/> <del>Revocation of POA and new POA (2 pages)</del>

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/082,649

☒ Cancel in this application claims 1-58 before calculating the filing fee.

☒ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

-- This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/082,649, filed May 20, 1998, now allowed, and claiming priority to U.S. Provisional Patent application Nos. 60/047,209 and 60/047,233, both filed May 20, 1997.

Prior application information: Examiner D. Nguyen

Group Art Unit: 1633

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

OR

AGENT'S NAME	Maria A. Trevisan, Reg. No. 48,207				
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21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED					
NAME	Maria A. Trevisan Reg. No. 48,207				
SIGNATURE					
DATE	09/26/01				

Inventor(s): Davis et al.

Express Mail Label  
No: EL844533742US

Filed: September 26, 2001

CHECK BOX, if applicable:

For: Vectors and Methods for Immunization or Therapeutic Protocols

☐ DUPLICATE

## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	50-20=	30x	\$ 18	= \$ 540.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3=	0 x	\$ 80	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +				\$
					BASIC FEE (37 CFR 1.16(a)) \$ 710.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 1,250.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$
	TOTAL =				\$ 1,250.00

1. A check in the amount of \$1,250.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



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